

Larry R. Lee, CLU[®], ChFC[®]



FDP Wealth Management, LLC
8841 Research Drive, Suite 100
Irvine, CA 92618
(949) 855-4337
www.4fdp.com

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This Brochure Supplement provides information about Larry R. Lee, Independent Investment Adviser Representative, which supplements the FDP Wealth Management, LLC (“FDP”) Form ADV Part 2A Brochure. Please inform Larry R. Lee at the contact information listed above if you did not receive FDP’s Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement.

Additional information about FDP and Larry R. Lee is available on the SEC’s website at www.adviserinfo.sec.gov and FINRA’s website at www.finra.org/brokercheck

Educational Background and Business Experience

Larry R. Lee

Date of Birth: 6/7/1940

Iowa State University, Ames, IA:

- B.S. Agriculture of Business

Examinations and Professional Designations: The American College, Bryn Mawr, PA

- CLU - Chartered Life Underwriter
- ChFC - Chartered Financial Consultant

Business Experience:

- 1969-present Founder and President, L.E. Lee Insurance and Financial Services, Inc.

CLU[®] – Chartered Life Underwriter

The CLU designation is offered and recognized by The American College. Candidates must complete five core and three elective courses, and successfully pass a proctored exam for each course. Additionally, three years of full-time business experience within the five years preceding the awarding of the designation is required. Every two years, 30 hours of continuing education credits are required.

ChFC[®] - Chartered Financial Consultant

The ChFC designation is issued by The American College. To earn the professional designation, candidates must complete six core courses and two elective courses and successfully pass a proctored final exam for each course. Candidates must also have at least three years of full-time business experience within the five years preceding being awarded the designation. Every two years, 30 hours of continuing education credits are required.

Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Larry R. Lee has no disciplinary record that would impact a client's evaluation of the practice.

Other Business Activities

Larry R. Lee is a Registered Representative of ValMark Securities, Inc., a broker dealer and member of the Financial Industry Regulatory Authority ("FINRA") and Securities Investor Protection Corporation ("SIPC"). He may receive compensation for sales of investment products or other services through his registration with ValMark Securities, Inc.

Larry R. Lee is a licensed insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of ValMark Securities, Inc., and other insurance companies. He may receive compensation for sales of insurance products or other services through his registration with Executive Insurance Agency, Inc. or any other insurance company.

Larry R. Lee is the President of L.E. Lee Insurance and Financial Services, Inc., an independent financial and insurance services organization. He is paid W-2 compensation and receives corporate dividends for his position as President of L.E. Lee Insurance and Financial Services, Inc.

Larry R Lee is a member of Irvine CSBL, LLC. Irvine CSBL, LLC is a real estate holding company involved in the ownership and rental of commercial real estate. As a member, Larry shares in any net profits based on his ownership share percentage.

Additional Compensation

There is no additional compensation awarded for providing advisory or financial planning and consulting services, such as sales awards, prizes or bonus payments.

Supervision

Larry R Lee is an independent investment adviser representative. However, before accepting and implementing investment strategies recommended, a supervisory principal reviews and approves of the investment strategies. Accounts are reviewed periodically, usually at least annually by the independent adviser. The compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of Chief Compliance Officer, Bryan Ugalde, who may be reached at (949) 855-4337 x.11 to discuss questions or concerns in connection with the internal compliance program.

Requirements for State Registered Investment Advisers

In addition to any events listed in the "Disciplinary Information" section, state Registered Investment Advisers are required to specifically address whether or not a supervised person has been involved in any of the events listed below, and disclose all material facts regarding the event:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.

2. An award of otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.

Larry R. Lee has not been involved with any of the events listed above.