

**Mark A. Chandik, AIF<sup>®</sup>, CLU<sup>®</sup>, ChFC<sup>®</sup>**



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This Brochure Supplement provides information about Mark A. Chandik, Independent Investment Adviser Representative, which supplements the FDP Wealth Management, LLC (“FDP”) Form ADV Part 2A Brochure. Please inform Mark A. Chandik at the contact information listed above if you did not receive FDP’s Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement.

Additional information about FDP and Mark A Chandik is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA’s website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck)

## Educational Background and Business Experience

Mark A. Chandik

Date of Birth: 9/3/1960

National University, San Diego, CA:

- B.A. Accounting
- Cum Laude

Examinations and Professional Designations

- CLU - Chartered Life Underwriter
- ChFC - Chartered Financial Consultant
- AIF – Accredited Investment Fiduciary
- Series 6 – Investment Company Products/Variable Contracts Limited Representative exam
- Series 7 – General Securities Representative exam
- Series 63 – Uniform Securities Agent State Law exam
- Series 65 – Uniform Investment Adviser Law exam

Business Experience:

- 2010-Present      Founding Member, FDP Wealth Management, LLC
- 2010-Present      Chief Executive Officer, FDP Insurance Services
- 1983-2010          Founder and President, Mark A. Chandik, Inc.

### **AIF<sup>®</sup> - Accredited Investment Fiduciary**

The AIF<sup>®</sup> professional designation is offered and recognized by Fiduciary360. Mr. Chandik has received formal training in investment fiduciary responsibility. To earn a professional designation, candidates are required to complete a rigorous training program, successfully pass an examination, conform to a code of ethics, and adhere to continuing education requirements on an annual basis. The AIF<sup>®</sup> trademark is registered with the U.S. Patent and Trademark Office under the Center for Fiduciary Studies, a division of Fiduciary360.

### **CLU<sup>®</sup> – Chartered Life Underwriter**

The CLU professional designation is offered and recognized by The American College. Candidates must complete five core and three elective courses, and successfully pass a proctored exam for each course. Additionally, three years of full-time business experience within the five years preceding the awarding of the designation is required. Every two years, 30 hours of continuing education credits are required.

### **ChFC<sup>®</sup> - Chartered Financial Consultant**

The ChFC professional designation is issued by The American College. To earn the professional designation, candidates must complete six core courses and two elective courses and successfully pass a proctored final exam for each course. Candidates must also have at least three years of full-time business experience within the five years preceding being awarded the designation. Every two years, 30 hours of continuing education credits are required.

## Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Mark A. Chandik has no disciplinary record that would impact a client's evaluation of the practice.

#### **Other Business Activities**

Mark A. Chandik is a Registered Representative of ValMark Securities, Inc., a broker dealer and member of the Financial Industry Regulatory Authority ("FINRA") and Securities Investor Protection Corporation ("SIPC"). He may receive compensation for sales of investment products or other securities through his registration with ValMark Securities, Inc.

Mark A. Chandik is a licensed insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of ValMark Securities, Inc., and other insurance companies. He may receive compensation for sales of insurance products or other services through his registration with Executive Insurance Agency, Inc. or any other insurance company.

Mark A. Chandik is the Chief Executive Officer of FDP Insurance Services. FDP Insurance Services is an independent financial and insurance services organization. Mark is paid W-2 compensation for his position as Chief Executive Officer of FDP Insurance Services. As owner, Mark also shares in the firm's profits.

Mark A Chandik is an independent investment adviser representative of ValMark Advisers, Inc., a SEC Registered Investment Adviser and unaffiliated investment advisory firm. Mark is eligible to receive normal investment advisory fees associated with management of assets placed within the adviser's programs and/or financial planning and consulting fees.

Mark A Chandik is a member of Irvine CSBL, LLC and Irvine C&R, LLC. Irvine CSBL, LLC and Irvine C&R, LLC are real estate holding companies involved in the ownership and rental of commercial real estate. As a member, Mark shares in any net profits based on his ownership share percentage.

#### **Additional Compensation**

There is no additional compensation awarded for providing advisory or financial planning and consulting services, such as sales awards, prizes or bonus payments.

#### **Supervision**

Mark A Chandik is a member and investment adviser representative. Before accepting and implementing investment strategies recommended, a supervisory principal reviews and approves of the investment strategies. Accounts are reviewed periodically, usually at least annually by the independent adviser. The compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of Chief Compliance Officer, Bryan Ugalde, who may be reached at (949) 855-4337 x.11 to discuss questions or concerns in connection with the internal compliance program.

#### **Requirements for State Registered Investment Advisers**

In addition to any events listed in the "Disciplinary Information" section, state Registered Investment Advisers are required to specifically address whether or not a supervised person has been involved in any of the events listed below, and disclose all material facts regarding the event:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a. an investment or an investment-related business or activity;

- b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
2. An award of otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.

Mark A. Chandik has not been involved with any of the events listed above.